TECHNOLOGY DEPLOYMENT
ANNUAL PERSONAL CONFLICT OF INTEREST REPRESENTATION

For Staff Involved in the Preparation, Negotiation and Execution of Technology Transfer Agreements

I, the undersigned employee of Battelle Energy Alliance, LLC (BEA) do hereby represent that:

1. Prior to the preparation, negotiation, or approval of a Technology Transfer Agreement, I will disclose to my immediate supervisor whenever I, any Relation of mine, or an organization in which I serve as an officer, director, trustee, partner or employee:

    a. hold a financial interest in any entity that is or may be the subject of a Technology Transfer Agreement with BEA (exclusive of a non-controlling interest that comprises less than 5 percent of the shares of a company that are publicly traded on a stock exchange);

    b. have received any gift or gratuity greater than nominal value from any entity, other than BEA, that has a substantial interest in the preparation, negotiation, or approval of a Technology Transfer Agreement; and

2. Prior to the preparation, negotiation, or approval of a Technology Transfer Agreement, I will disclose to my immediate supervisor any financial interest I, or a Relation of mine, have in any entity, other than BEA that has a substantial interest in the preparation, negotiation, or approval of a Technology Transfer Agreement, or that is held by any person or organization with whom I am negotiating or have any arrangement concerning prospective employment.

3. I will remove myself from the preparation, negotiation, or approval of any Technology Transfer Agreement in which I, or a Relation of mine, holds an interest that is required to be disclosed under paragraphs 1 and 2 unless a properly approved COI Mitigation Plan (reference POL-122) is in place.

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BY

_____

Name (Typed)

_____

Date